

# Three New Polyketide–Terpenoid Hybrids from *Penicillium* sp.

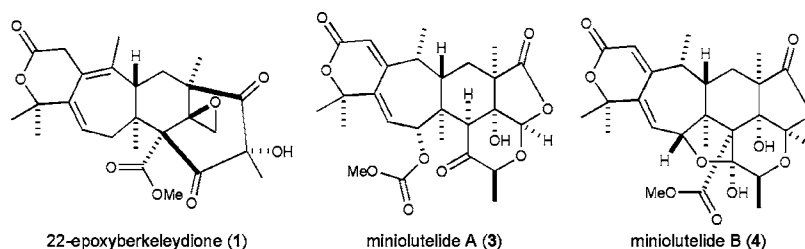
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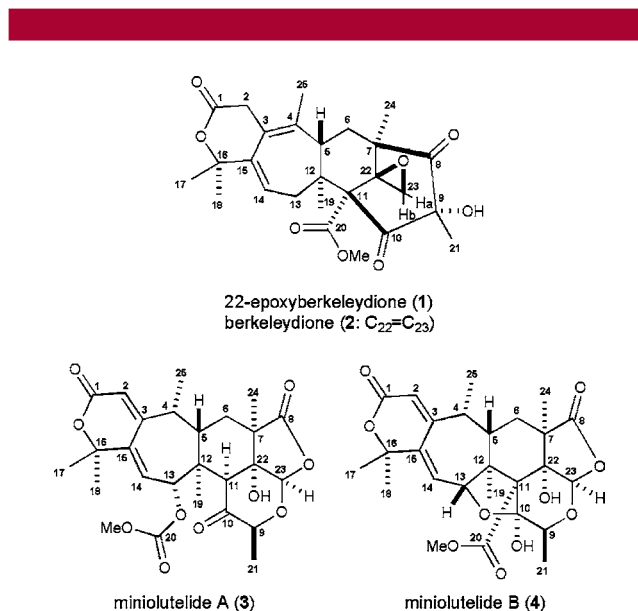
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## ABSTRACT



Three novel hybrid polyketide–terpenoid metabolites were isolated from a *Penicillium minioluteum* strain. Their structures were determined by NMR spectroscopic analyses and X-ray crystallography. The proposed biosynthetic pathway including a unique *retro*-Claisen migration of methyl carbonate correlates the three compounds with berkeleydione and berkeleytrione.

Marine microorganisms have been attracting increasing attention as important sources of biologically and pharmaceutically active substances.<sup>1</sup> We have been screening marine-derived microorganisms for their antimicrobial, antibacterial, and antitumor activities to find novel bioactive substances. In the course of screening for antitumor substances, we detected cytotoxic activity against A549 cells ( $IC_{50}$  500  $\mu\text{g/mL}$ ) in an acetone extract of the marine-derived fungus, *Penicillium minioluteum* 03HE3-1, which had been isolated from sea mud of Heita Bay, Kamaishi, Japan. The fungus was cultured in a 1/2PD (potato-dextrose) medium containing 50% seawater. After the mycelial cake was removed from the cultivation medium (7.5 L) by filtration, the filtrate was concentrated and separated by normal- and reversed-phase column chromatography and HPLC to yield three new compounds, 22-epoxyberkeleydione (1) (1.1 mg), miniolutelide A (3) (3.2 mg), and miniolutelide B (4) (0.8 mg) (Figure 1).



**Figure 1.** Structures of 22-epoxyberkeleydione (1), berkeleydione (2), miniolutelide A (3), and B (4).

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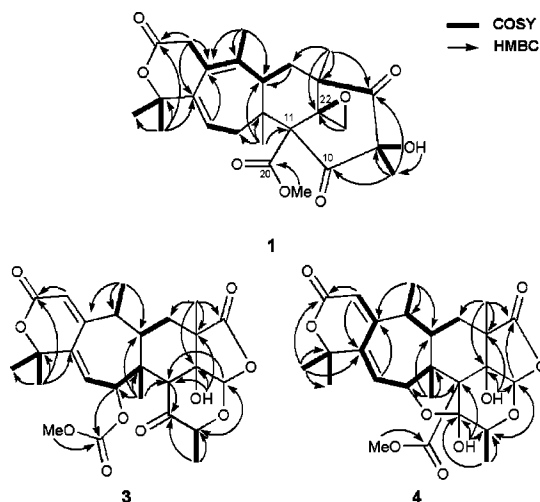
22-Epoxyberkeleydione<sup>2</sup> (**1**) was isolated as a colorless amorphous solid, and its molecular formula was determined to be C<sub>26</sub>H<sub>32</sub>O<sub>8</sub> by HRESIMS. The IR spectrum showed absorptions at 3508 (hydroxyl), 1732 (ester), and 1714 cm<sup>-1</sup> (ketone). The <sup>13</sup>C NMR spectrum (Table 1) suggested the

**Table 1.** NMR Data (C<sub>6</sub>D<sub>6</sub>) of 22-Epoxyberkeleydione (**1**) and Miniulotelide B (**4**)

	$\delta_C$ <b>1</b>	$\delta_H$ <b>1</b> ( <i>J</i> in Hz)	$\delta_C$ <b>4</b>	$\delta_H$ <b>4</b> ( <i>J</i> in Hz)
1	167.7 s		162.1 s	
2	34.5 t	$\beta$ -3.08 br d (20.7)	121.8 d	5.40 s
		$\alpha$ -2.94 d quint (20.7, 1.7)		
3	127.5 s		155.4 s	
4	133.8 s		42.9 d	2.22 m
5	43.9 d	1.94 br d (14.1)	41.8 d	1.85 dd (12.7, 5.5)
6	39.7 t	$\beta$ -1.73 dd (13.2, 3.6)	38.4 t	$\beta$ -1.81 d (13.4)
		$\alpha$ -1.50 dd (14.1, 13.2)		$\alpha$ -1.68 dd (13.4, 12.7)
7	49.3 s		52.0 s	
8	207.6 s		178.9 s	
9	78.7 s		78.4 d	3.87 q (6.5)
10	203.5 s		103.9 s	
11	67.8 s		68.2 s	
12	68.5 s		50.5 s	
13	37.9 t	$\beta$ -2.98 dd (14.3, 8.3)	80.7 d	4.72 d (2.8)
		$\alpha$ -1.67 dd (14.3, 5.2)		
14	129.1 d	5.94 dd (8.3, 5.2)	128.9 d	5.97 br s
15	140.4 s		135.4 s	
16	81.0 s		81.0 s	
17	29.3 q	1.06 s	26.0 q	1.24 s
18	26.7 q	1.32 s	27.2 q	1.06 s
19	20.9 q	1.20 s	17.8 q	0.81 s
20	168.3 s		174.3 s	
21	16.1 q	1.67 s	13.7 q	1.48 d (6.5)
22	59.8 s		79.7 s	
23	50.3 t	Hb -2.54 d (3.4)	108.8 d	5.05 s
		Ha -2.50 d (3.4)		
24	17.9 q	0.95 s	19.4 q	1.15 s
25	14.9 q	1.08 br s	18.6 q	0.61 d (7.7)
OMe	52.2 q	3.24 s	51.2 q	2.98 s
OH	(C9)	4.56 br s	(C10)	7.64 s
			(C22)	2.01 s

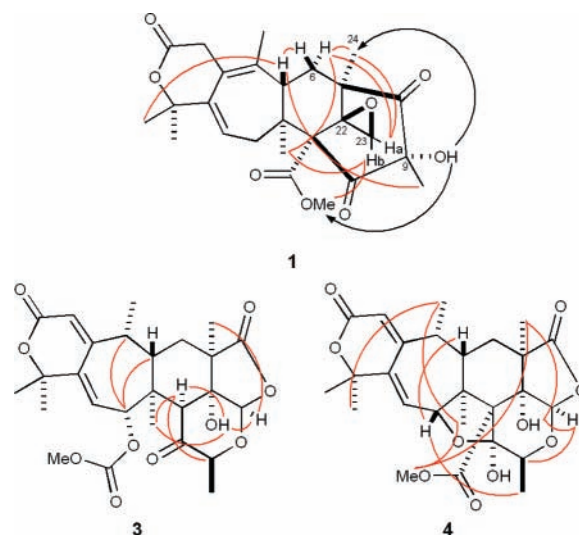
presence of two ketone ( $\delta_C$  207.6, 203.5) and two ester ( $\delta_C$  168.3, 167.7) groups. These groups account for seven oxygens, leaving one oxygen unassigned. A methylene carbon resonating at  $\delta_C$  50.3 was ascribable to an epoxyethyl as indicated by its large <sup>1</sup>J<sub>CH</sub> coupling constant (178 Hz). The chemical shifts of the proton signals at  $\delta_H$  2.54 (d, *J* = 3.4 Hz) and 2.50 (d, *J* = 3.4 Hz), showing HSQC correlations with the carbon signal at  $\delta_C$  50.3, agree well with those of epoxyethyl protons. There are two olefinic groups evident from the carbon signals at  $\delta_C$  140.4, 133.8, 129.1, and 127.5. On the basis of detailed analyses of COSY and HMBC spectra (Figure 2), structure **1** (planar) was tentatively determined for this compound, although the connectivity of C-11 with C-10, C-20, and C-22 was uncertain. The most puzzling spectral feature of this compound was that the chemical shifts of two quaternary carbons at  $\delta_C$  67.8 (C-11) and 68.5 (C-12) were too far downfield for carbons that were not joined with oxygen, yet all eight oxygens had been designated as composing other necessary moieties. At this

(2) 22-Epoxyberkeleydione (**1**): colorless amorphous; [ $\alpha$ ]<sub>D</sub><sup>25</sup> +80.3 (c 0.11, MeOH); UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ) 211 (3.42) nm; CD (MeOH)  $\Delta\epsilon_{231}$  +7.27,  $\Delta\epsilon_{268}$  -1.67,  $\Delta\epsilon_{311}$  +1.47; IR (neat)  $\nu_{max}$  3508, 2987, 2951, 1732, 1714, 1240, 1122 cm<sup>-1</sup>; (+)-HRESIMS *m/z* [M + Na]<sup>+</sup> 495.1989 (calcd for C<sub>26</sub>H<sub>32</sub>O<sub>8</sub>Na, 495.1995).



**Figure 2.** Key COSY and HMBC correlations of **1**, **3**, and **4**.

stage, by surveying the literature, we encountered berkeleydione<sup>3</sup> (**2**), whose structure had been established by X-ray analysis. The chemical shifts of C-11 and C-12 of **2** were reported to be  $\delta_C$  71.2 and 67.0, respectively, close to those of **1**. The unusually low-field chemical shifts of these carbons had been also noted by the authors, and they had verified the shifts by computer calculation. The <sup>1</sup>H and <sup>13</sup>C chemical shifts of **1** are practically superimposable with those of **2** except for the signals at positions 7, 11, 22, and 23, which enabled us to propose structure (planar) **1** for 22-epoxyberkeleydione. The relative stereochemistry of **1** was established by NOESY and NOE difference spectroscopy (Figure 3).



**Figure 3.** Selected NOE correlations of **1**, **3**, and **4**.

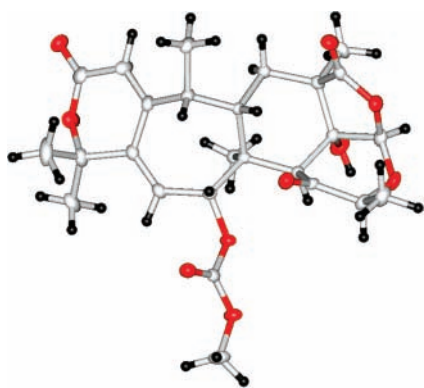
NOEs between H-23a/H-6 $\alpha$  and H-23b/CO<sub>2</sub>Me and those between 9-OH/H<sub>3</sub>-24 and 9-OH/CO<sub>2</sub>Me were essential for

elucidating the stereochemistry of the 22- and 9-positions, respectively.

The absolute configuration of berkeleydione (**2**) has not been determined. We noticed that **1** had a *cis*-homodiene in its B-ring, which could be used for deducing the absolute configuration based on the helicity rule<sup>4</sup> of CD spectroscopy. In this method, the torsion angle of the *cis*-diene moiety is crucial. The angle was estimated to be 45° (absolute value) on the basis of the conformation deduced by the NOEs (Figure 3) and a MM2 calculation.<sup>5</sup>

This value seems to be reasonable because the corresponding angle found for **2** by X-ray analysis was 45°. Compound **1** showed a negative Cotton effect ( $\Delta\epsilon = -1.7$ ) at 268 nm, which elucidated the absolute configuration of **1** as shown in Figure 1.

Miniolutelide A<sup>6</sup> (**3**), C<sub>26</sub>H<sub>32</sub>O<sub>10</sub> (HRESIMS), was isolated as a colorless prism. Fortunately, it yielded a single crystal that was submitted for X-ray analysis (Figure 4). The IR



**Figure 4.** X-ray structure of miniolutelide A (**3**).

absorptions at 3419 (hydroxyl), 1790 ( $\gamma$ -lactone), 1755 (carbonate), and 1695 cm<sup>-1</sup> (conjugated ester) are consistent with the X-ray structure. On the basis of the structure together with COSY, NOESY, HSQC, and HMBC (Figures 2 and 3) spectra, all the proton and carbon signals were assignable.

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(5) MM2 calculation was performed using CS Chem3D Pro 9.0.1.

(6) Miniolutelide A (**3**): colorless prism (MeOH/H<sub>2</sub>O), mp 186.5–187.2 °C;  $[\alpha]_D^{25} +77.3$  (c 0.23, CHCl<sub>3</sub>); UV (EtOH)  $\lambda_{max}$  (log  $\epsilon$ ) 268 (3.95) nm; CD (MeOH)  $\Delta\epsilon_{268} +18.62$ ; IR (neat)  $\nu_{max}$  3419, 2985, 1790, 1755, 1695, 1261, 1165, 1120, 974 cm<sup>-1</sup>; <sup>1</sup>H NMR (C<sub>6</sub>D<sub>6</sub>, 400 MHz)  $\delta_H$  6.47 (1H, d, *J* = 1.3 Hz, H-13), 5.78 (1H, t, *J* = 1.7 Hz, H-14), 5.76 (1H, br s, H-2), 5.15 (1H, s, H-23), 4.10 (1H, q, *J* = 6.6 Hz, H-9), 3.36 (3H, s, OMe), 2.61 (1H, s, H-11), 2.23 (1H, m, H-5), 2.18 (1H, q, *J* = 6.8 Hz, H-4), 1.93 (1H, dd, *J* = 13.5, 2.2 Hz, H-6 $\beta$ ), 1.45 (3H, d, *J* = 6.6 Hz, H-21), 1.33 (3H, s, H-18), 1.29 (1H, s, 22-OH), 1.15 (3H, s, H-17), 0.90 (1H, t, *J* = 13.5 Hz, H-6 $\alpha$ ), 0.85 (3H, s, H-24), 0.82 (3H, s, H-19), 0.68 (3H, d, *J* = 6.8 Hz, H-25); <sup>13</sup>C NMR (C<sub>6</sub>D<sub>6</sub>, 75 MHz)  $\delta_C$  207.3 (s, C-10), 174.1 (s, C-8), 162.8 (s, C-1), 155.5 (s, C-20), 154.4 (s, C-3), 141.3 (s, C-15), 130.4 (d, C-14), 116.7 (d, C-2), 103.4 (d, C-23), 83.0 (s, C-22), 81.8 (s, C-16), 79.9 (d, C-13), 76.7 (d, C-9), 58.2 (d, C-11), 54.7 (q, OMe), 50.3 (s, C-7), 43.0 (s, C-12), 39.9 (d, C-5), 37.4 (d, C-4), 28.1 (q, C-17), 26.3 (q, C-18), 26.1 (t, C-6), 19.9 (q, C-24), 18.7 (q, C-21), 15.7 (q, C-19), 15.4 (q, C-25); (+)-HRESIMS *m/z* [M + Na]<sup>+</sup> 527.1893 (calcd for C<sub>26</sub>H<sub>32</sub>O<sub>10</sub>Na, 527.1893).

It should be remarked that the methoxycarbonyl group (C-20:  $\delta_C$  168.3) of **1** is seemingly converted to a methyl carbonate (C-20:  $\delta_C$  155.5) in **3**. This bizarre functional migration was interpreted by discovering its possible precursor, miniolutelide B (**4**).

The torsion angle of the *trans*-diene of **3** determined by X-ray analysis is 165° (absolute value). The CD spectrum of **3** exhibited a positive Cotton effect ( $\Delta\epsilon = +18.6$ ) at 268 nm, confirming the absolute stereostructure of **3** as shown in Figure 1.

Miniolutelide B<sup>7</sup> (**4**), a colorless amorphous solid, has the same molecular formula, C<sub>26</sub>H<sub>32</sub>O<sub>10</sub> (HRESIMS), as **3**. The <sup>13</sup>C NMR spectrum of **4** is very similar to that of **3** except that (i) a new acetal carbon signal appears at  $\delta_C$  103.9 (C-10) and (ii) a methoxycarbonyl group ( $\delta_C$  174.3) is present in place of a methyl carbonate ( $\delta_C$  155.5). The <sup>1</sup>H NMR (C<sub>6</sub>D<sub>6</sub>, 400 MHz) of **4** showed excellently separated signals, and by detailed analyses of COSY and HMBC spectra (Figure 2), its planar structure was constructed. The relative stereochemistry of **4** was determined by the NOESY spectrum (Figure 3). Of the two hydroxyl groups of **4**, 10-OH shows the proton signal at  $\delta_H$  7.64, lower than the signal ( $\delta_H$  2.01) of 22-OH (Table 1). When the NMR solvent was changed to acetone-*d*<sub>6</sub>, the latter signal shifted down to  $\delta_H$  5.24, while the former signal remained unchanged. This phenomenon can be interpreted by assuming an intramolecular hydrogen bond between 10-OH and the carbonyl oxygen of the methoxycarbonyl group on C-11. The relatively low-frequency IR absorption of the ester carbonyl (1705 cm<sup>-1</sup>) of **4** may be due to the hydrogen bonding, and these facts support the *cis* relationship of 10-OH with 11-CO<sub>2</sub>Me groups.

The molecular models of **4** showed that, owing to the presence of a tetrahydrofuran ring, miniolutelide B had a rigid conformation, in which the *trans*-diene skews at -146° when it has the absolute configuration shown in Figure 1. In fact, the CD spectrum of **4** exhibits a negative Cotton effect ( $\Delta\epsilon = -21.9$ ) at 267 nm, which verifies the absolute configuration.

Studies on the biosynthesis of meroterpenes produced by *Aspergillus* and *Penicillium* have suggested that this group of metabolites is derived from farnesyl pyrophosphate and 3,5-dimethylorsellinic acid (Figure 5).<sup>8</sup> An epoxide-initiated cyclization of **a** generates a tetracyclic intermediate (**b**), which is known as a key precursor of austin<sup>9</sup> and related compounds.<sup>10–12</sup> Oxidative transformations of **b** give rise to berkeleytrione<sup>3</sup> (**5**), recently isolated from an acid mine

(7) Miniolutelide B (**4**): colorless amorphous;  $[\alpha]_D^{25} -252.5$  (c 0.08, CHCl<sub>3</sub>); UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ) 268 (3.92) nm; CD (MeOH)  $\Delta\epsilon_{267} -21.91$ ; IR (neat)  $\nu_{max}$  3354, 2991, 2943, 1788, 1705 cm<sup>-1</sup>; (+)-HRESIMS *m/z* [M + Na]<sup>+</sup> 527.1893 (calcd for C<sub>26</sub>H<sub>32</sub>O<sub>10</sub>Na, 527.1893).

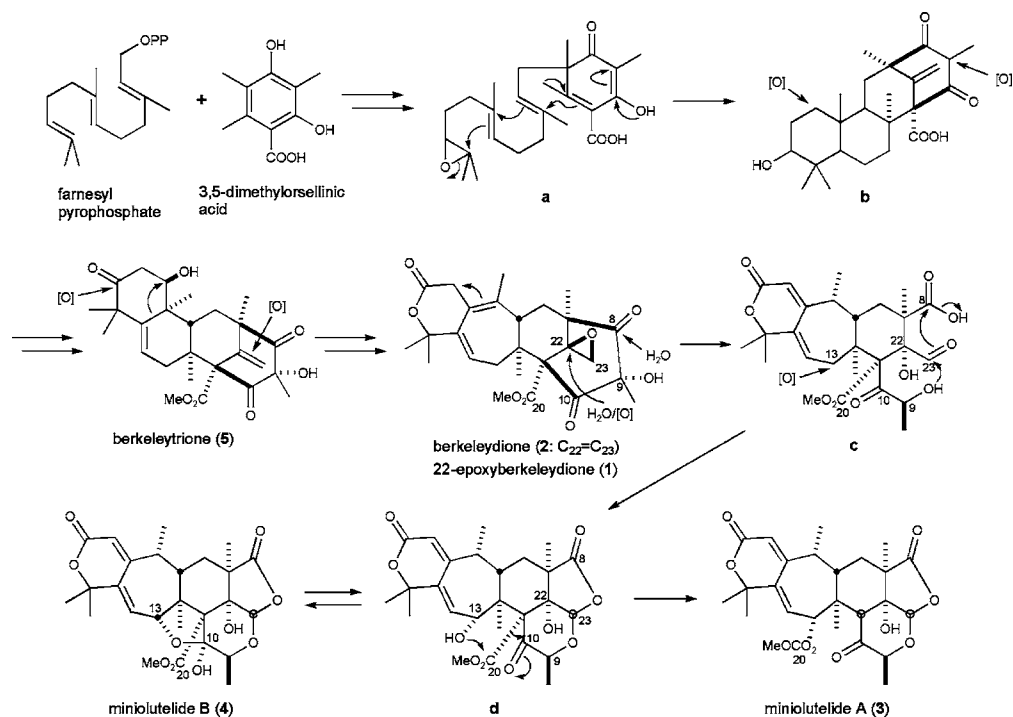
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**Figure 5.** Biosynthetic pathway of compounds **1–5** through the presumed intermediates **a–d**.

organism together with berkeleydione (**2**). Berkeleydione is supposed to be produced from the trione (**5**) by (i) a Baeyer–Villiger type oxidation of the A-ring ketone and (ii) a 1,2-alkyl shift to form a cycloheptadiene B-ring. Epoxidation of the *exo*-methylene produces 22-epoxyberkeleydione (**1**), which is converted to **c** via hydrolytic cleavage of C-8/C-9 and hydrolysis of the epoxide followed by oxidation of the resulting primary alcohol to an aldehyde. Acetal lactonization and introduction of a hydroxy group at C-13 yields **d**, which forms another acetal between 13-OH and 10-ketone, affording miniolutelide B (**4**). In intermediate **d**, migration of the methoxycarbonyl group (C-20) to 9-OH by intramolecular *retro*-Claisen condensation results in miniolutelide A (**3**).

Due to a limited amount of new compounds, **1**, **3**, and **4**, MRSA inhibitory activity was tested only for **3**, which gave a negative result (50  $\mu\text{g}/\text{disk}$ ). A larger scale cultivation of the *Penicillium minioluteum* strain to investigate these compounds' cytotoxic activities is now in progress.

**Supporting Information Available:** Experimental procedures, 1D/2D NMR spectra of compounds **1**, **3**, and **4**, Chem3D files of **1** and **4**; X-ray crystallographic file in CIF format. This material is free of charge via the Internet at <http://pubs.acs.org>.

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